

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Michael Stern et al.

Group No.: 2163

Application No.: 09/130,998

Examiner: M. Diaz

Filed: August 7, 1998

For: Method And Apparatus For Distributing Audiovisual Content

Assistant Commissioner for Patents
Washington, D.C. 20231-0001RECEIVED
MAY 22 2002
OFFICE OF PETITIONS

NOTIFICATION OF ERROR IN PAYMENT OF FEE(S) AS A SMALL ENTITY

Adjustment date: 05/22/2002 AWONDAF1
09/11/2001 CNGUYEN 00000011 232415 09130998
01-FC:231 355.00 CR
02-EC:217 445.00 CR

(37 C.F.R. section 1.28(c))

Filing of Small Entity Statement

1. On November 2, 1998, a verified small entity statement was filed in this application.

Adjustment date: 05/22/2002 AWONDAF1
12/27/2000 THALL1 00000001 232415 09130998
01-FC:216 190.00 CR

Payment of Fee(s) as Small Entity

2. The filing fee and extension of time fee was paid on November 2, 1998.

Error

The error in the payment of fee(s) as a small entity was as follows:

Adjustment date: 05/22/2002 AWONDAF1
05/05/2000 HMICHAEL 00000024 232415 09130998
01-FC:231 345.00 CR
02-FC:205 65.00 CR

| Small Entity | Large Entity | Amount Owed |
|--------------|--------------|-------------|
|--------------|--------------|-------------|

Filing Fee: Original patent application, deficient by
1-Month Extension of Time fee, deficient by

| | | |
|-------|-------|-------|
| \$395 | \$790 | \$395 |
| \$55 | \$110 | \$55 |

Applicant erroneously believed itself entitled to small entity status, and has now discovered that this is not so.

05/22/2002 AWONDAF1 00000035 232415 09130998

Total Fee payment for deficiency for filing fees is \$450

Adjustment date: 05/22/2002 AWONDAF1
05/23/2000 WKOROMA 00000022 232415 09130998
01-FC:103 90.00 CR

3. The extension of time fee was paid on August 16, 1999

Error

The error of payment of fee(s) as a small entity as follows:

Adjustment date: 05/22/2002 AWONDAF1
08/25/1999 MBERHE 00000037 201430 09130998
01-FC:215 55.00 CR

| Small Entity | Large Entity | Amount Owed |
|--------------|--------------|-------------|
|--------------|--------------|-------------|

1-Month Extension of Time Fee, deficient by

| | | |
|------|-------|------|
| \$55 | \$110 | \$55 |
|------|-------|------|

Applicant erroneously believed itself entitled to small entity status, and has now discovered that this is not so.

Total Fee payment for 1-month extension of time fees is \$55.

Adjustment date: 05/22/2002 AWONDAF1
11/13/1998 TSTOKES 00000070 201430 09130998
01-FC:201 395.00 CR
02-FC:205 65.00 CRAdjustment date: 05/22/2002 AWONDAF1
11/13/1998 TSTOKES 00000070 201430 09130998
01-FC:215 55.00 CR

7. The Continued Prosecution Application and 3-Month Extension of Time fees was filed on September 5, 2001.

Error

The error in the payment of fee(s) as a small entity was as follows:

| | Small Entity | Large Entity | Amount Owed |
|---|-----------------|-----------------|----------------|
| Continued Prosecution Application, deficient by | \$355 | \$710 | \$355 |
| 3-month Extension of Time, deficient by | \$445 | \$890 | \$445 |

Applicant erroneously believed itself entitled to small entity status, and has now discovered that this is not so.

Total Fee payment for the CPA and 3-month Extension of Time fees is \$800.

TOTAL Fee Payment for Deficiencies

8. Payment is attached for the total sum of \$2,240 for the deficiency between the amount of fee(s) paid and the amount due.

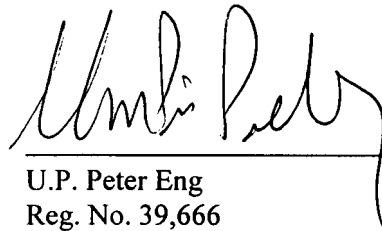
Fee Payment

9. Charge Account 23-2415 (Attorney Docket No. 14750-702) in the amount of \$2,240. Please charge Account 23-2415 for any fee deficiency.

Further Status as Small Entity

Status as a small entity is hereby withdrawn.

WILSON SONSINI GOODRICH & ROSATI


U.P. Peter Eng
Reg. No. 39,666

650 Page Mill Road
Palo Alto, CA 94304
Tel. No.: 650-493-9300
Customer No. 021971
Date: May 7, 2002

4. The 3-Month Extension of Time was paid on March 7, 2000.

Error

The error in the payment of fee(s) as a small entity was as follows:

| | Small Entity | Large Entity | Amount Owed |
|---|-----------------|-----------------|----------------|
| 3-month Extension of Time, deficient by | ✓ \$435 | \$870 | \$435 |

Applicant erroneously believed itself entitled to small entity status, and has now discovered that this is not so.

Total Fee payment for the 3-month Extension of Time fees is \$435

5. The Continued Prosecution and Surcharge fees were paid on April 24, 2000.

Error

The error in the payment of fee(s) as a small entity was as follows:

| | Small Entity | Large Entity | Amount Owed |
|---|-----------------|-----------------|----------------|
| Filing fees for CPA, deficient by | ✓ \$380 | \$760 | \$380 |
| Surcharge Fee, late payment of filing fee | ✓ \$65 | \$130 | \$65 |

Applicant erroneously believed itself entitled to small entity status, and has now discovered that this is not so.

Total Fee payment for CPA and Surcharge fee is \$445.

6. The 1-Month Extension of Time fees was filed on December 15, 2000

Error

The error in the payment of fee(s) as a small entity was as follows:

| | Small Entity | Large Entity | Amount Owed |
|---|-----------------|-----------------|----------------|
| 1-month Extension of Time, deficient by | \$55 | \$110 | \$55 |

Applicant erroneously believed itself entitled to small entity status, and has now discovered that this is not so.

Total Fee payment for the 1-month Extension of Time fees is \$55.